

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Dox 1450 Alexandria, Virginia 22313-1450

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/764,495

01/27/2004

1

Andrew Mark Nightingale

550-510

Date Mailed: 06/14/2004

CONFIRMATION NO. 6258

FORMALITIES LETTER

OC000000012939761

23117 **NIXON & VANDERHYE, PC** 1100 N GLEBE ROAD 8TH FLOOR ARLINGTON, VA 22201-4714

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/09/2004 HGUTEMA1 00000045 10764495

FILED UNDER 37 CFR 1.53(b)

01 FC:1001 02 FC:1202 03 FC:1051

770.00 OP 558.00 OP 130.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - Numbers, letters, and reference characters on the drawings must measure at least 0.32 cm (1/8 inch) in height. See Figure(s) 5B, 10-14.
 - The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e)). See Figure(s) 1A-5A (words in the Drawings are not readable).

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• Additional claim fees of \$558 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1458 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$558
 - \$558 for 31 total claims over 20.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. 10764.495 Filed: January 27, 2004 Filed: January 27, 2004 Mail Stop Missing Parts Cornesisoner for Patents Co-VERIFICATION TESTING Mail Stop Missing Parts Commissioner for Patents Control or January 27, 2004 Alexandria, VA 22313-1450 Moritice To Fille Missing Parts Signed Bule 63 Declaration alone, Copy of Declaration from prior application alone, QR Signed Bule 63 Declaration plans tatched copy of originally filed specification/drawings. NOTICE TO FILL MISSING PARTS OF APPLICATION FILING DATE GRANTED form. Record the attached assignment to ARM LIMITED, Cambridge, United Kingdom and return to the undersigned. Attached is a Power of Attorney. Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications: Application Number Country Day/Month/Year Filed 1 979972004 HBUTEN91 00000045 10764495 Certified copy(ies) of foreign application(s) is/are attached. Please enter the attached preliminary amendment prior to calculation of filing fee. Applicant claims "small entiry' status. "Small entiry' status mission of Formal Drawings; ID of Practitioners to be Recognized Fees due are calculated below: Basic filing fee Total Effective claims 3 3 0 x 8 8.00 FILING FEE \$ 1,328.00 FILING FEE \$ 1,328.00 Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (S110.00/f months, \$420.00/2 months; \$550.00/3 months; \$1400.00/4 months) \$ 110.00 Surcharge (S130.00/f Teochards ms now added for first time, add \$290.00/6 gnore improper) \$ 0.00 FILING FEE \$ 1,328.00 Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (S110.00/f months, \$420.00/2 months; \$1400.00/4 months) \$ 10.00 Surcharge (S130.00/f Declaration or filing fee first now submitted FIRST SUBTOTAL \$ 1,588.00 TOTAL FEE ENCLOSED \$ 1,608.00 TOTAL FEE ENCLOSED \$ 1,608.00 Any future su	In re Patent Application of:	Attention: Appli	ication Branch	-
Date: September 6, 2004 For: APPARTUS AND METHOD CONTROL OF CONTR	NIGHTINGALE	Atty. Dkt. 55	0-510	•
Date: September 6, 2004 For: APPARTUS AND METHOD CONTROL OF CONTR	Serial No. 10/764,495			
Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 The attached completes filing of the above-identified patent application: Correspondence Address Indication Form Attached. Signed Rule 63 Declaration alone, ☐ Copy of Declaration from prior application alone, ②E Signed Declaration plus attached copy of originally filed specification/drawings. NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED form. Record the attached assignment to ARM LIMITED, Cambridge, United Kingdom and return to the undersigned. Attached is a Power of Attorney. Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications: Application Number Country Day/Month/Year Filed Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications: Application Number Country Day/Month/Year Filed Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications: Application Number Country Day/Month/Year Filed Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications: Application Number Country Day/Month/Year Filed Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications: Application Number Country Day/Month/Year Filed 104, 107, 107, 107, 107, 107, 107, 107, 107	Filed: January 27, 2004	Date: Septem	nber 8, 2004	
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Alexandria, NA 22313-1450 The attached completes filling of the above-identified patent application: Correspondence Address Indication Form Attached. Signed Rule 63 Declaration alone, Copy of Declaration from prior application alone, OR Signed Declaration plus attached copy of originally filed specification/drawings. NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED form. Record the attached assignment to ARM LIMITED, Cambridge, United Kingdom and return to the undersigned. Attached is a Power of Attorney. Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications: Application Number Country Day/Month/Year Filed Certified copy(les) of foreign application(s) is/are attached. Please enter the attached preliminary amendment prior to calculation of filing fee. Also attached: Information Disclosure Statement; Nucleotide and/or Amino Acid Sequence Submission; Other: Submission of Formal Drawings; ID of Practitioners to be Recognized Recognized Recognized Fees due are calculated below: Basic filing fee Total Effective claims 3 3 0 x 86.00 1 any proper multiple dependent claims now added for first time, add \$299.00 (ignore improper) 1 0.00 FILING FEE 9 attion is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/f month; \$420.00/2 months; \$950.00/3 months; \$1480,00/4 months) Surcharge (\$130.00) if Declaration or filling fee first now submitted FIRST SUBTOTAL \$ 1,568.00 \$ 0.00 FIRST SUBTOTAL \$ 1,568.00 \$ 0.00 TOTAL FEE ENCLOSED \$ 1,568.00 Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency, or credit any paper hereafter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached. NIXON & VANDERHYE P.				
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If "small entity," enter half (½) of subtotal and subtract Assignment Recording Fee (\$40.00) Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached. 1100 North Glebe Road, 8 th Floor Arlington, Virginia 22201-4714 By Atty: Stanley C. Spooner, Reg. No. 393 Telephone: (703) 816-4000 Facsimile: (703) 816-4100	English translation of specification and claims (\$150.	•		
Assignment Recording Fee (\$40.00) TOTAL FEE ENCLOSED \$ 1,568.00 Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached. 1100 North Glebe Road, 8 th Floor Arlington, Virginia 22201-4714 By Atty: Stanley C. Spooner, Reg. No. 3,393 Telephone: (703) 816-4000 Facsimile: (703) 816-4100	If "small entity," enter half (1/2) of subtotal and subtra			
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asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140 . A <u>duplicate</u> copy of this sheet is attached. 1100 North Glebe Road, 8 th Floor Arlington, Virginia 22201-4714 Telephone: (703) 816-4000 Facsimile: (703) 816-4100	Any future submission requiring an extension of time	is hereby stated to include a petition	n for such time ext	ension.
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1100 North Glebe Road, 8 th Floor Arlington, Virginia 22201-4714 Telephone: (703) 816-4000 Facsimile: (703) 816-4100 NIXON & VANDERHYE P. J. By Atty: Stanley C. Spooner, Reg. No 37,393	by this firm) to our Account No. 14-1140 . A duplicat	nerewith (or with any paper nereafte e conv of this sheet is attached	er filed in this applic	cation
Arlington, Virginia 22201-4714 By Atty: Stanley C. Spooner, Reg. No. 27,393 Telephone: (703) 816-4000 Facsimile: (703) 816-4100				
Telephone: (703) 816-4000 Facsimile: (703) 816-4100	Arlington, Virginia 22201-4714		No. 22 .393	
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